

Dear Author,

Here are the proofs of your article.

- You can submit your corrections **online**, via **e-mail** or by **fax**.
- For **online** submission please insert your corrections in the online correction form. Always indicate the line number to which the correction refers.
- You can also insert your corrections in the proof PDF and **email** the annotated PDF.
- For fax submission, please ensure that your corrections are clearly legible. Use a fine black pen and write the correction in the margin, not too close to the edge of the page.
- Remember to note the **journal title**, **article number**, and **your name** when sending your response via e-mail or fax.
- **Check** the metadata sheet to make sure that the header information, especially author names and the corresponding affiliations are correctly shown.
- **Check** the questions that may have arisen during copy editing and insert your answers/ corrections.
- **Check** that the text is complete and that all figures, tables and their legends are included. Also check the accuracy of special characters, equations, and electronic supplementary material if applicable. If necessary refer to the *Edited manuscript*.
- The publication of inaccurate data such as dosages and units can have serious consequences. Please take particular care that all such details are correct.
- Please **do not** make changes that involve only matters of style. We have generally introduced forms that follow the journal's style. Substantial changes in content, e.g., new results, corrected values, title and authorship are not allowed without the approval of the responsible editor. In such a case, please contact the Editorial Office and return his/her consent together with the proof.
- If we do not receive your corrections **within 48 hours**, we will send you a reminder.
- Your article will be published **Online First** approximately one week after receipt of your corrected proofs. This is the **official first publication** citable with the DOI. **Further changes are, therefore, not possible.**
- The **printed version** will follow in a forthcoming issue.

#### **Please note**

After online publication, subscribers (personal/institutional) to this journal will have access to the complete article via the DOI using the URL: [http://dx.doi.org/\[DOI\]](http://dx.doi.org/[DOI]).

If you would like to know when your article has been published online, take advantage of our free alert service. For registration and further information go to: <http://www.link.springer.com>.

Due to the electronic nature of the procedure, the manuscript and the original figures will only be returned to you on special request. When you return your corrections, please inform us if you would like to have these documents returned.

# Metadata of the article that will be visualized in OnlineFirst

ArticleTitle	A Hamiltonian Particle Method with a Staggered Particle Technique for Simulating Seismic Wave Propagation	
Article Sub-Title		
Article CopyRight	Springer Basel (This will be the copyright line in the final PDF)	
Journal Name	Pure and Applied Geophysics	
Corresponding Author	Family Name	<b>Takekawa</b>
	Particle	
	Given Name	<b>Junichi</b>
	Suffix	
	Division	Department of Civil and Earth Resources Engineering
	Organization	Kyoto University
	Address	C1-1-111, Kyotodaigaku-Katsura, Nishikyo-ku, Kyoto, Japan
	Email	takekawa@tansa.kumst.kyoto-u.ac.jp
Author	Family Name	<b>Mikada</b>
	Particle	
	Given Name	<b>Hitoshi</b>
	Suffix	
	Division	Department of Civil and Earth Resources Engineering
	Organization	Kyoto University
	Address	C1-1-112, Kyotodaigaku-Katsura, Nishikyo-ku, Kyoto, Japan
	Email	mikada@gakushikai.jp
Author	Family Name	<b>Goto</b>
	Particle	
	Given Name	<b>Tada-nori</b>
	Suffix	
	Division	Department of Civil and Earth Resources Engineering
	Organization	Kyoto University
	Address	C1-1-113, Kyotodaigaku-Katsura, Nishikyo-ku, Kyoto, Japan
	Email	goto.tadanori.8a@kyoto-u.ac.jp
Schedule	Received	8 April 2013
	Revised	15 October 2013
	Accepted	19 December 2013
Abstract	We present a Hamiltonian particle method (HPM) with a staggered particle technique for simulating seismic wave propagation. In the conventional HPM, physical variables, such as particle displacement and stress, are defined at the center, i.e., at the same position, of each particle. As most seismic simulations using finite difference methods (FDM) are practiced with staggered grid techniques, we know the staggered alignment of space variables could improve the numerical accuracy. In the present study, we hypothesized that staggered technique could improve the numerical accuracy also in the HPM and tested the hypothesis. First, we conducted a plane wave analysis for the HPM with the staggered particles in order to verify the validity of our strategy. The comparison of grid dispersion in our strategy with that in the conventional one suggests that the accuracy would be improved dramatically by use of the staggered technique. It is also observed that the dispersion of waves is dependent on the propagation direction due to the difference in the average spacing of the neighboring two particles for the same parameters, as is usually observed in FDM with a rotated staggered	

grid. Next, we compared the results from the conventional Lamb's problem using our HPM with those from an analytical approach in order to demonstrate the effectiveness of the staggered particle technique. Our results showed better agreement with the analytical solutions than those from HPM without the staggered particles. We conclude that the staggered particle technique would be a method to improve the calculation accuracy in the simulation of seismic wave propagation.

---

Keywords (separated by '-') Particle method - mesh-free method - computational seismology - seismic wave propagation - Lamb's problem

---

Footnote Information

---

# A Hamiltonian Particle Method with a Staggered Particle Technique for Simulating Seismic Wave Propagation

JUNICHI TAKEKAWA,<sup>1</sup> HITOSHI MIKADA,<sup>2</sup> and TADA-NORI GOTO<sup>3</sup>

**Abstract**—We present a Hamiltonian particle method (HPM) with a staggered particle technique for simulating seismic wave propagation. In the conventional HPM, physical variables, such as particle displacement and stress, are defined at the center, i.e., at the same position, of each particle. As most seismic simulations using finite difference methods (FDM) are practiced with staggered grid techniques, we know the staggered alignment of space variables could improve the numerical accuracy. In the present study, we hypothesized that staggered technique could improve the numerical accuracy also in the HPM and tested the hypothesis. First, we conducted a plane wave analysis for the HPM with the staggered particles in order to verify the validity of our strategy. The comparison of grid dispersion in our strategy with that in the conventional one suggests that the accuracy would be improved dramatically by use of the staggered technique. It is also observed that the dispersion of waves is dependent on the propagation direction due to the difference in the average spacing of the neighboring two particles for the same parameters, as is usually observed in FDM with a rotated staggered grid. Next, we compared the results from the conventional Lamb's problem using our HPM with those from an analytical approach in order to demonstrate the effectiveness of the staggered particle technique. Our results showed better agreement with the analytical solutions than those from HPM without the staggered particles. We conclude that the staggered particle technique would be a method to improve the calculation accuracy in the simulation of seismic wave propagation.

**Key words:** Particle method, mesh-free method, computational seismology, seismic wave propagation, Lamb's problem.

## 1. Introduction

Seismic modeling techniques have been used for the predictions of strong ground motion caused by earthquakes (GRAVES 1996; KOMATITSCH and TROMP 1999; KOKETSU 2004; AOCHI 2013; NOGUCHI 2013), investigations in rock physics (SAENGER and SHAPIRO 2002; SAENGER 2011; MADONNA 2012), exploration seismology (GELIS 2005; ZENG 2012), etc. Because of the importance of seismic modeling, many numerical schemes have been developed in order to improve the numerical accuracy or the computational efficiencies.

Among various schemes, the finite difference method (FDM) has been widely used for its accuracy and simplicity in the seismological field. MADARIAGA (1976) first applied a scheme based on staggered grids to solve dynamics of an expanding circular fault. VIRIEUX (1984, 1986) applied a staggered grid technique to simulate seismic wave propagation in order to improve the accuracy of FDM. In FDM with a staggered grid, the velocity and stress components are defined at two different sets of grid points staggered to each other. Later, SAENGER (2000) developed a rotated staggered grid method to calculate seismic wave propagation in arbitrary heterogeneous and anisotropic media. BERNTH and CHAPMAN (2011) analyzed and compared the accuracy and computational requirements of various staggered grid schemes. Since these techniques improve the accuracy without sacrificing the computational costs, many researchers and engineers are using these techniques.

Recently, particle-based methods have also been applied to simulations of seismic wave propagation as an alternative to traditional continuum-based simulators. TOOMEY and BEAN (2000), DELL VALLE-GARCIA

<sup>1</sup> Department of Civil and Earth Resources Engineering, Kyoto University, C1-1-111, Kyotodaigaku-Katsura, Nishikyo-ku, Kyoto, Japan. E-mail: takekawa@tansa.kumst.kyoto-u.ac.jp

<sup>2</sup> Department of Civil and Earth Resources Engineering, Kyoto University, C1-1-112, Kyotodaigaku-Katsura, Nishikyo-ku, Kyoto, Japan. E-mail: mikada@gakushikai.jp

<sup>3</sup> Department of Civil and Earth Resources Engineering, Kyoto University, C1-1-113, Kyotodaigaku-Katsura, Nishikyo-ku, Kyoto, Japan. E-mail: goto.tadanori.8a@kyoto-u.ac.jp



71 (2003) developed elastic lattice methods (ELM)  
 72 based on the distinct element method (CUNDALL and  
 73 STRACK 1979) for the seismic wave simulations.  
 74 TAKEKAWA *et al.* (2013) applied a moving particle  
 75 semi-implicit (MPS) method to the coupled simula-  
 76 tions of the seismic wave propagation and failure  
 77 phenomena. In ELM and the MPS method, the par-  
 78 ticle velocities or the displacements are defined at the  
 79 centers of the particle positions, whereas the inter-  
 80 action forces are defined at the middle positions  
 81 between the particles. (TAKEKAWA 2012) applied a  
 82 Hamiltonian particle method (HPM), originally  
 83 developed by SUZUKI and KOSHIZUKA (2008), to  
 84 numerical simulation of seismic wave propagation.  
 85 Their results show the applicability of the HPM to  
 86 simulate seismic wave propagation in an elastic  
 87 medium with an arbitrary-shaped free surface. In the  
 88 original HPM, however, either particle velocity or  
 89 displacement and stress components for every particle  
 90 are defined at the center of each particle. Although the  
 91 alignment of physical parameters at the same locations  
 92 in models could induce an artificial oscillation which  
 93 degrades the numerical accuracy, there are not many  
 94 publications for the discussion of such artifacts in the  
 95 application of HPM without sacrificing the computa-  
 96 tional costs. As KONDO (2010) developed a method  
 97 which introduces an artificial force, and dramatically  
 98 improves the accuracy of the HPM after a compromise  
 99 on the additional calculation and memory usage for  
 100 the artificial force for a problem of oscillation of an  
 101 elastic body, we await discussions on the accuracy and  
 102 the numerical efficiency of seismic wave propagation  
 103 simulation using HPM.

104 In the present study, we applied a staggered par-  
 105 ticle technique to the HPM for seismic wave  
 106 propagation in order to improve the accuracy and the  
 107 numerical efficiency. In our strategy, we define the  
 108 displacement and stress components at the different  
 109 positions similar to the other simulators using the  
 110 FDM. First, we explain the fundamental theory of  
 111 HPM with the staggered particle alignment. Then we  
 112 conduct plane wave analyses in order to confirm the  
 113 validity of our strategy. Finally, we test our numerical  
 114 method using homogeneous and inhomogeneous  
 115 models, and compare the results from the HPM with  
 116 those from the analytical solutions and another  
 117 numerical method.

2. Method

118

119 In this chapter, we explain the basic theory of the  
 120 HPM and the staggered particle strategy. Figure 1  
 121 shows the arrangement of particles. Black and white  
 122 circles represent main- and sub-particles. At the  
 123 main-particles, the strain and stress tensors are  
 124 defined. On the other hand, the displacement, veloc-  
 125 ity, and acceleration vectors are defined at the sub-  
 126 particles. Each particle has an influence domain  
 127 which defines the interacting particles around the  
 128 particle. Interactions between particles described  
 129 below are limited by the influence domain.

130 In the HPM, the deformation gradient tensor is  
 131 calculated by minimizing the error function  $e_i$  as  
 132 follows.

$$e_i = \sum_j \left| \mathbf{F}_i \mathbf{r}_{ij}^0 - \mathbf{r}_{ij} \right| \quad (1)$$

134 where  $\mathbf{F}$  is the deformation gradient tensor,  $\mathbf{r}_{ij}^0$  and  $\mathbf{r}_{ij}$   
 135 are the initial and current position of sub-particle  
 136  $j$  relative to main-particle  $i$ , respectively. Subscripts  
 137  $i$  and  $j$  indicate main- and sub-particle, respectively.  
 138 The summation in Eq. (1) is applied to the sur-  
 139 rounding sub-particles inside the influence domain of  
 140 main-particle  $i$ . Minimizing the error function derives  
 141 the following equation for calculating the deforma-  
 142 tion gradient tensor.

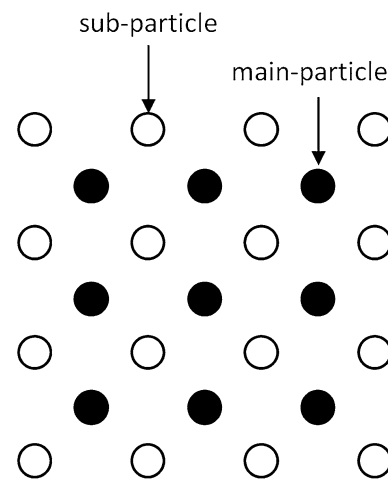


Figure 1  
 The staggered arrangement of the main- and sub-particles in the present study

$$\mathbf{F}_i = \sum_j \mathbf{r}_{ij} \otimes \mathbf{r}_{ij}^0 \mathbf{A}_i^{-1} \quad (2)$$

$$\mathbf{A}_i = \sum_j \mathbf{r}_{ij}^0 \otimes \mathbf{r}_{ij}^0. \quad (3)$$

146  $\mathbf{a} \otimes \mathbf{b}$  means tensor product of vector  $\mathbf{a}$  and  $\mathbf{b}$ . The  
147 strain tensor, stress tensor, and the total elastic strain  
148 energy can be calculated using the deformation gra-  
149 dient tensor.

$$\mathbf{E}_i = (\mathbf{F}_i^T \mathbf{F}_i - \mathbf{I})/2 \quad (4)$$

$$\mathbf{S}_i = 2\mu \mathbf{E}_i + \lambda \text{tr}(\mathbf{E}_i) \mathbf{I} \quad (5)$$

$$V = \sum_i (\mathbf{S}_i : \mathbf{E}_i \Delta B_i)/2 \quad (6)$$

155 where  $\mathbf{E}$ ,  $\mathbf{S}$  and  $V$  are the Green–Lagrangian strain  
156 tensor, second Piola–Kirchhoff stress tensor and the  
157 total elastic strain energy, respectively.  $\Delta B_i$  is the  
158 volume of main-particle  $i$ .

159 Using Hamilton’s equations, we can derive the  
160 equation of motion for each sub-particle  $j$ .

$$\Delta m_j \partial v_j / \partial t = \partial V / \partial \mathbf{r}_j = \sum_i (\mathbf{F}_i \mathbf{S}_i \mathbf{A}_i^{-1} \mathbf{r}_{ji}^0 \Delta B_i) \quad (7)$$

162 where  $\Delta m_j$  is the mass of sub-particle  $j$ . The  
163 summation in Eq. (7) is also applied to the  
164 surrounding main-particles inside the influence  
165 domain of sub-particle  $j$ . We show an explicit  
166 expression of stress and displacement components  
167 in [Appendix](#).

### 3. Dispersion Analysis

169 We perform a plane wave analysis in order to  
170 investigate the dispersion properties of HPM with the  
171 staggered particles. Here, we assume that a plane  
172 P-wave propagates along the horizontal axis in Fig. 2.  
173 The model has a P-wave velocity of  $V_p = 3,500$  m/s,  
174 and a mass density of  $\rho = 2,200$  kg/m<sup>3</sup>. Particle  
175 spacing  $\Delta x$  between main particles is 10 m. We  
176 consider a plane wave of the form

$$\mathbf{u} = u_0 \exp(-i\omega t + ikx) \quad (8)$$

178 where  $k$  is the wavenumber and  $\omega$  is the frequency.

179 We focus on the main-particle “a” in Fig. 2. If the  
180 radius of the influence domain is set to the particle

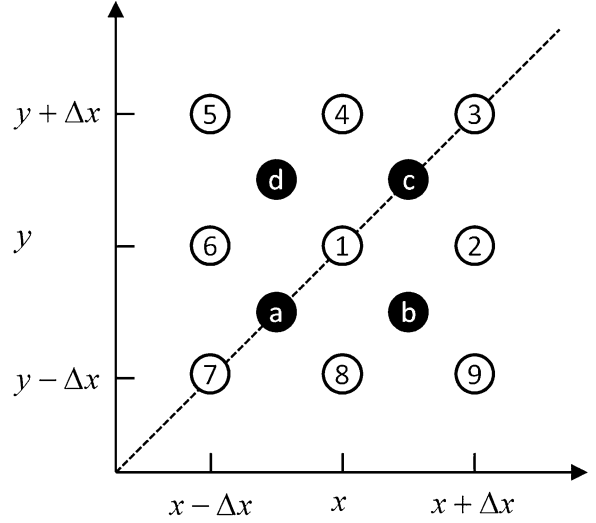


Figure 2  
The numbered main- and sub-particles for explaining the dispersion analysis

spacing, main-particle “a” interacts with sub-particles “1”, “6”, “7” and “8”. Substituting Eq. (8) into Eqs. (2) and (3) yields

$$\mathbf{F}_a = \begin{pmatrix} 1 + (\exp(ik\Delta x) - 1)u_0 \exp(-i\omega t + ikx) / \Delta x & 0 \\ 0 & 1 \end{pmatrix}. \quad (9)$$

Equation (9) is the deformation gradient tensor for the main-particle “a”. Inserting Eq. (9) into Eqs. (4) and (5), the stress tensor of the main-particle “a” could be calculated as follows.

$$\mathbf{S}_a = \begin{pmatrix} (\lambda + 2\mu) \left\{ (1 + U)^2 - 1 \right\} / 2 & 0 \\ 0 & \lambda \left\{ (1 + U)^2 - 1 \right\} / 2 \end{pmatrix} \quad (10)$$

where

$$U = (\exp(ik\Delta x) - 1)u_0 \exp(-i\omega t + ikx) / \Delta x. \quad (11)$$

In a similar way, we can calculate the stress tensors for the main-particles “b”, “c” and “d”. Inserting the deformation gradient tensors and the stress tensors for the main-particles “a”, “b”, “c” and “d” into Eq. (7), we can obtain the motion equation for the sub-particle “1” as follows.

$$-\Delta m_1 \omega^2 u_0 \exp(-i\omega t + ikx) = \left( \frac{(\lambda + 2\mu)\{(X - Y)/\Delta x^2 + 3(X^2 - Y^2)/2\Delta x^3 + (X^3 - Y^3)/2\Delta x^4\}\Delta B}{0} \right) \quad (12)$$

198 where

$$\Delta m = \rho \Delta B \quad (13)$$

$$X = (\exp(ik\Delta x) - 1)u_0 \exp(-i\omega t + ikx) \quad (14)$$

$$Y = (1 - \exp(-ik\Delta x))u_0 \exp(-i\omega t + ikx). \quad (15)$$

204 Here, we assume that the particle spacing is much  
205 larger than the amplitude of the incident plane wave.  
206 Finally, the relationship between the wavenumber  
207 and the frequency can be obtained

$$\omega \approx \sqrt{4(\lambda + 2\mu)/\rho \Delta x^2} \sin(k\Delta x/2). \quad (16)$$

209 Figure 3a shows the dispersion curve obtained by  
210 Eq. (16). For comparison, we also show the disper-  
211 sion curve for the HPM without the staggered  
212 particles (TAKEKAWA 2012). As shown in Fig. 3a, the  
213 staggered technique improves the dispersion property  
214 dramatically.

215 Next, we investigate the dependence of the disper-  
216 sion feature on the wave-propagating direction.  
217 We rotate the incident direction of the plane P-wave  
218 45° such that the plane wave propagates along the  
219 broken line in Fig. 2. Under the same procedures, we  
220 can obtain the dispersion relationship for the inclined  
221 incident case as follows

$$\omega \approx \sqrt{2(\lambda + 2\mu)/\rho \Delta x^2} \sin(k\Delta x/\sqrt{2}). \quad (17)$$

223 Figure 3b shows the dispersion curves for the case of  
224 the different propagating directions. The error for the  
225 inclined incidence is larger than that of the horizontal  
226 incidence. This feature of the dispersion curve is  
227 similar to that of the FDM with the rotated staggered  
228 grid (SAENGER 2000). This stems from the same rel-  
229 ative positions between the points for the  
230 displacement and the stress. In any case, our disper-  
231 sion analysis revealed that the staggered particles  
232 improve the accuracy of the HPM without additional  
233 calculations like the artificial force.

#### 4. Numerical Examples

234

235 In this chapter, we conduct numerical simulations  
236 of surface wave propagation using two models in  
237 order to demonstrate the effectiveness of our strategy.  
238 The first model has a flat free surface without a  
239 velocity contrast (i.e. homogeneous model). The  
240 second one is a basin model with a strong velocity  
241 anomaly around the free surface (i.e. inhomogeneous  
242 model). We calculate seismograms with and without  
243 the staggered particles for the verification of our  
244 strategy. We always use the artificial force (KONDO

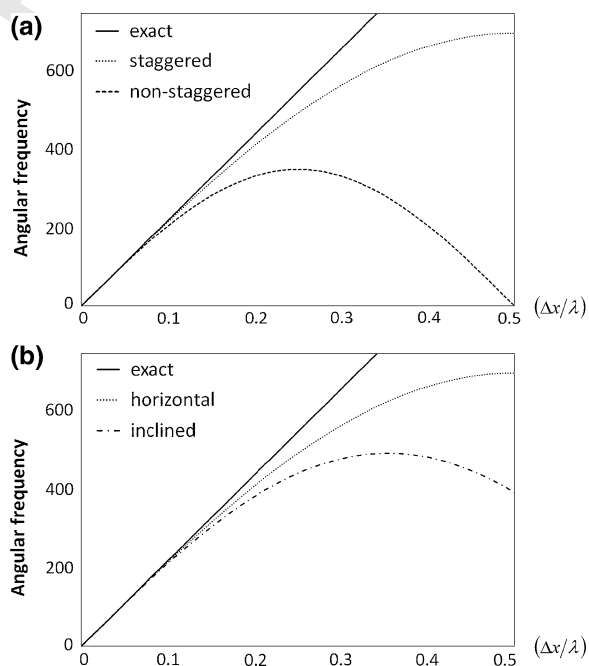


Figure 3

Dispersion curves obtained by the dispersion analyses. **a** Comparison of the result from the HPM with and without the staggered particles. *Dotted* and *broken* lines are the dispersion curves with and without the staggered particles. **b** The dependence of the dispersion curves on the incident directions of the plane wave. *Dotted* and *chain* lines are the dispersion curves of horizontal and inclined incidence, respectively



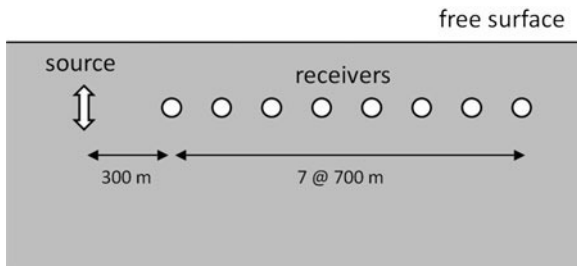


Figure 4

Geometry of the seismic source and receivers in an elastic half-space

2010) stated in the introduction when the staggered particles are not applied (i.e. the original HPM).

#### 4.1. Homogeneous Model

Figure 4 shows our numerical model of a half-space. The seismic source and receivers are located at a depth of 100 m. The source function is a Ricker wavelet with a central frequency of 4 Hz, and the vertical force is applied at the source position. The receivers are set at equal distances from the seismic source, from 300 to 5,200 m. We set the model boundaries well away from the source and receivers in order to avoid the artificial reflection waves instead of applying absorbing boundaries for the surrounding areas.

The spatial and time spacing are set to 10 m and 1 ms, respectively. We studied two models, A and B, of an elastic homogeneous and isotropic medium. The model A has a P-wave velocity of  $V_P = 4,522$  m/s, an S-wave velocity of  $V_S = 1,846$  m/s, and a mass density of  $\rho = 2,200$  kg/m<sup>3</sup>. The model B, on the other hand, has a P-wave velocity of  $V_P = 2,611$  m/s, an S-wave velocity of  $V_S = 1,846$  m/s, and a mass density of  $\rho = 2,200$  kg/m<sup>3</sup>. Models A and B have Poisson ratios of 0.4 and 0.0, respectively.

We compare our numerical results with the analytical solution of Lamb's problem, and evaluate the misfit by

$$\text{misfit} = \frac{\sum_t (s^{\text{NUM}}(t) - s^{\text{ANA}}(t))^2}{\sum_t (s^{\text{ANA}}(t))^2} \quad (18)$$

where  $s^{\text{NUM}}(t)$  and  $s^{\text{ANA}}(t)$  are the numerical and analytical seismograms, respectively.

Figure 5 shows the snapshots of the displacement field in the vertical direction calculated by the HPM with the staggered particles after 2 s. In both models, we can observe the P-, S-, and Rayleigh waves. Figure 6 shows the vertical displacement seismograms at each receiver. Solid and dotted lines are analytical and numerical seismograms, respectively. Thick broken lines are the differences between the seismograms amplified by a factor 5. The misfits calculated by Eq. (18) are also shown at the right side of each seismogram. Both seismograms using the staggered particles have good agreement with the analytical ones. At the farthest station, the misfits are <2 and 4 % in models A and B, respectively. On the other hand, the seismograms without the staggered particles have larger errors compared to those with

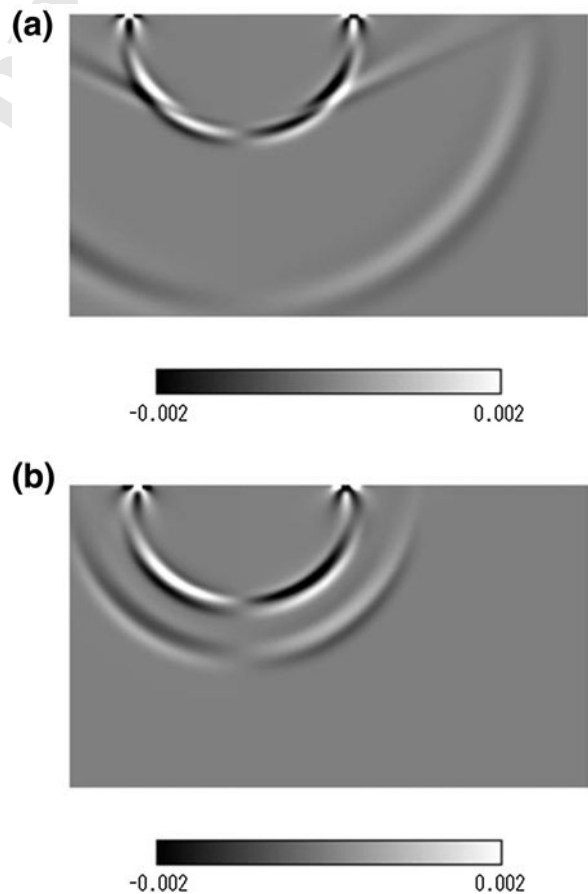


Figure 5

The snapshots of the displacement field in the vertical direction after 2 s for **a** model A, **b** model B using the staggered particles. The contour is measured in meters



Author Proof



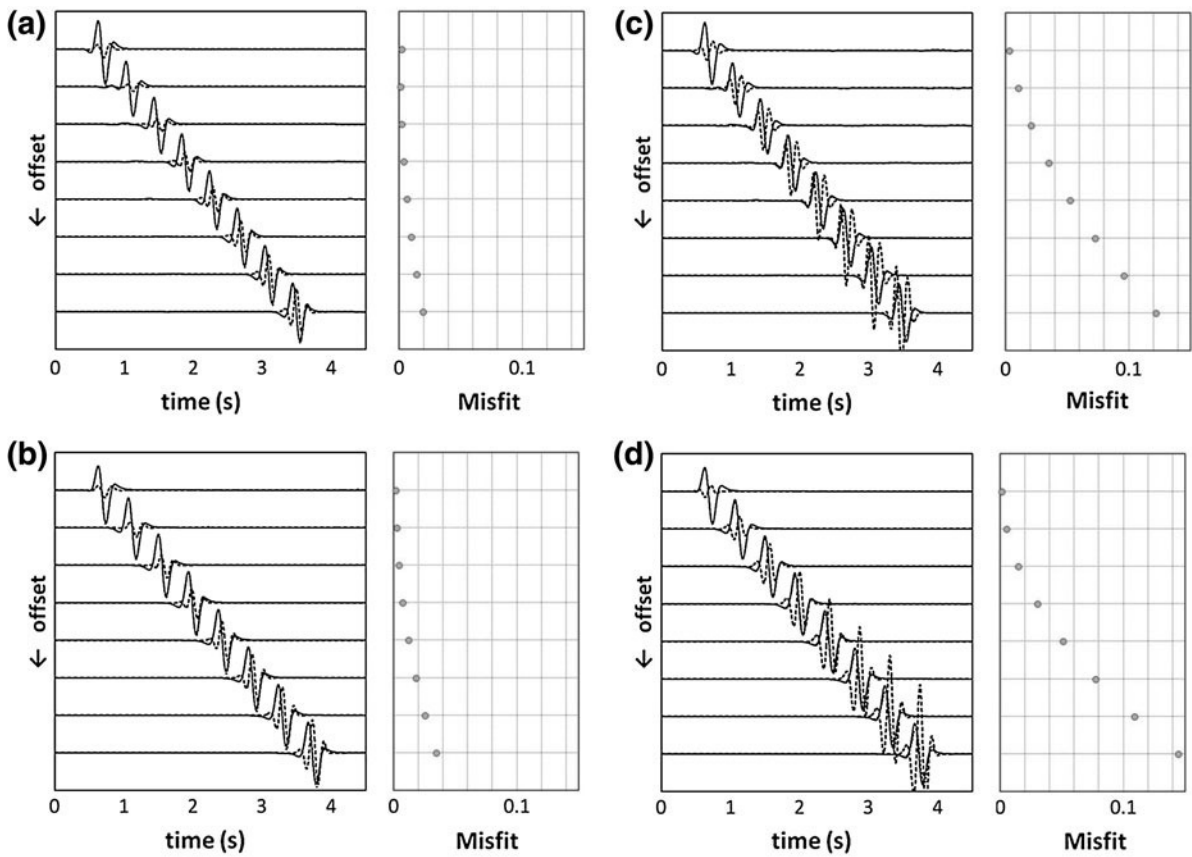


Figure 6

Vertical displacement at the receivers for **a** model A with the staggered particles, **b** model B with the staggered particles, **c** model A without the staggered particles, and **d** model B without the staggered particles. *Solid and dotted lines* are the analytical and numerical seismograms, respectively. *Dashed line* is the difference between the seismograms amplified by a factor 5. *Gray circles* on the right side of the seismograms represent the misfits calculated by Eq. (18)

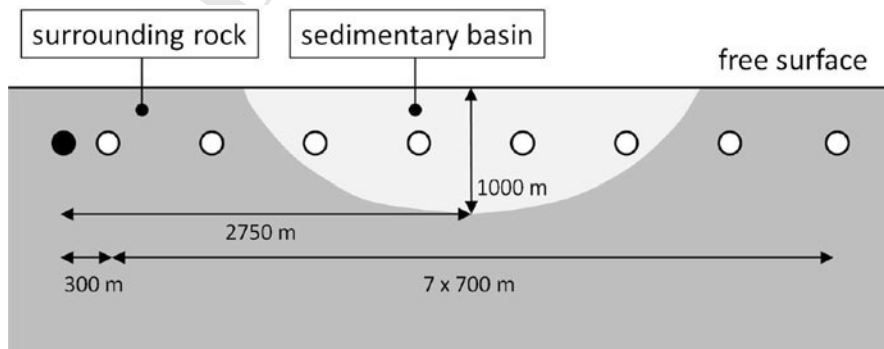


Figure 7

The schematic figure of the inhomogeneous model. A low velocity zone which mimics the sedimentary basin is located at the right side of the seismic source

Author Proof

291 the staggered particles. This means that the staggered  
 292 particles can improve the accuracy of the simulations  
 293 of the seismic wave propagation.

294 Since the maximum modelled frequency in this  
 295 section is about 11 Hz, the number of particles in a  
 296 minimum wavelength of model B is about 14. As  
 297 shown in Fig. 6b, the misfit of the fourth receiver  
 298 from the seismic source is <1 %. This means that the  
 299 misfit of our method remains lower than 1 % for a  
 300 propagation of about 17 wavelengths. The required  
 301 accuracy depends on individual cases, and the misfit  
 302 increases with propagation distance due to numerical

dispersion as shown in Fig. 6. Therefore, it is difficult  
 to refer to the appropriate number of particles in a  
 wavelength explicitly. The above relationship among  
 the number of particles per wavelength, propagating  
 distance and the observed misfit would be an  
 indication for determining the appropriate particle  
 spacing in individual cases.

4.2. *Inhomogeneous Model*

Figure 7 shows the schematic figure of the  
 inhomogeneous model. A sedimentary basin, which

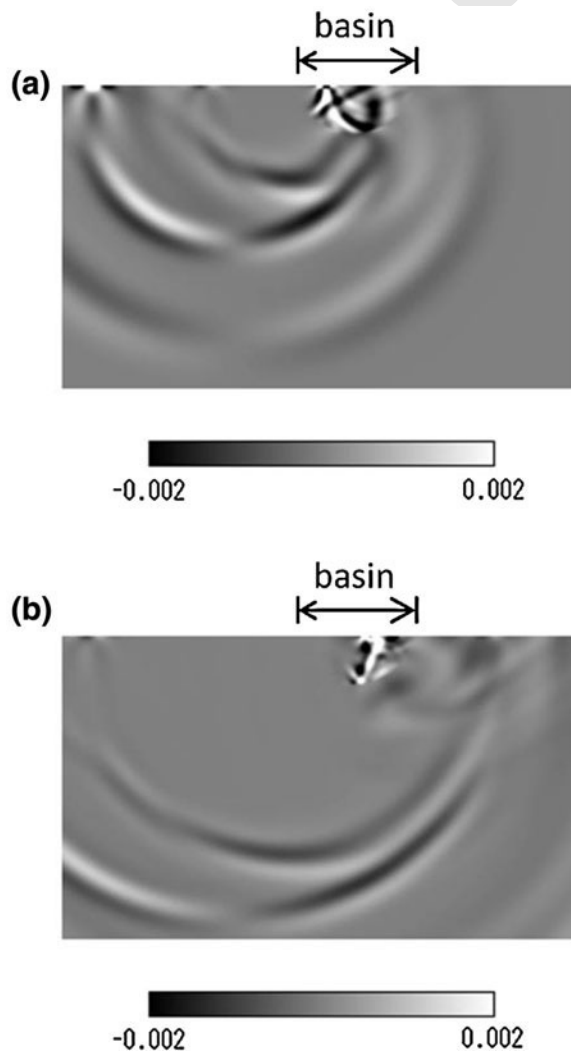


Figure 8

The snapshot of the displacement field in the vertical direction after **a** 2 s, **b** 3 s, respectively. The contour is measured in meters

Author Proof

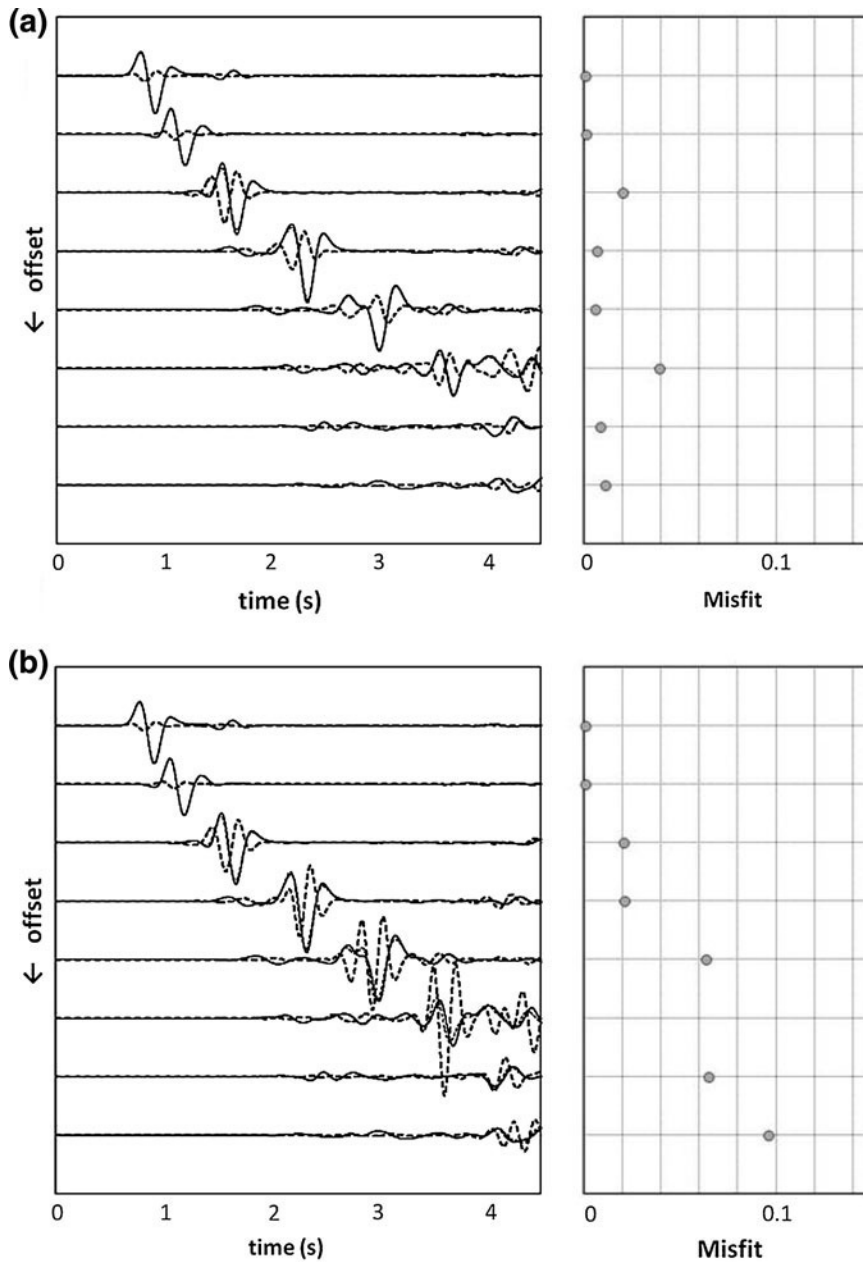


Figure 9

Vertical displacement at the receivers **a** with the staggered particles, and **b** without the staggered particles. *Solid and dotted lines* are the FDM-RSG and HPM seismograms, respectively. Other details are the same as in Fig. 6.

313 has lower velocities compared to the surrounding  
 314 rock, is located at the right side of the seismic source.  
 315 The sedimentary basin has a P-wave velocity of  
 316  $V_P = 2,700$  m/s, an S-wave velocity of  $V_S = 1,102$   
 317 m/s, and a mass density of  $\rho = 2,000$  kg/m<sup>3</sup>. The  
 318 surrounding rock, on the other hand, has a P-wave

velocity of  $V_P = 4,700$  m/s, an S-wave velocity of 319  
 $V_S = 2,878$  m/s, and a mass density of  $\rho = 2,600$  320  
 kg/m<sup>3</sup>. The relative positions of the source and 321  
 receivers are the same as the previous section. The 322  
 source function is a Ricker wavelet with a central 323  
 frequency of 3 Hz. Since the calculation of the 324

Author Proof

analytical solution to the arbitrary velocity model is difficult, we use the finite difference method with the rotated staggered grid (FDM-RSG), which allows the method to include strong velocity contrasts without explicitly accounting for them in the numerical method (SAENGER 2000), in order to make referential solutions. The grid spacing of the FDM-RSG is twice as fine as that of the HPM for the accurate calculation. The Lamé parameters above the free surface are set to zero and the density close to zero for the approximation of a vacuum. This approach can represent the propagation of the surface wave with sufficient accuracy (BOHLEN and SAENGER 2006). We apply a second-order spatial operator because the application of higher-order operators leads to numerical errors due to the discontinuities of the seismic wave field at the free surface.

Figure 8a, b show the snapshots of the displacement field in the vertical direction calculated by the HPM with the staggered particles after 2 and 3 s, respectively. Trapped waves in the sedimentary basin can be observed. Figure 9 shows the vertical displacement seismograms with and without the staggered particles. The results of the FDM-RSG are also shown in the same figure as solid lines. The waveforms become complex compared to those in the previous section due to the velocity anomaly. The results of the HPM with the staggered particles have better agreement with those of the FDM-RSG than those without the staggered particles. This indicates that the staggered particles can improve the accuracy if the model includes a strong velocity contrast.

## 5. Conclusions

In the present study, we applied the staggered particle technique to the HPM for simulating seismic wave propagation. We first explained our strategy and conducted the dispersion analyses for investigating the validity of the staggered particles. The results of the dispersion analyses showed the improvement of accuracy by use of the staggered particles. The dependence of the incident direction of the HPM with the staggered particles is similar to that of FDM with a rotated staggered grid. We then

conducted surface wave propagation simulations with and without the staggered particles to verify the effectiveness of our strategy using the homogeneous and inhomogeneous models. Numerical waveforms of the HPM with the staggered particles showed better agreement with those from the analytical solutions than those without the staggered particles.

The application of the staggered particles cuts out the need of calculations for the artificial force. This decreases the numerical costs, e.g. calculation time and computational memory. Therefore, our strategy improves not only the accuracy of the HPM, but also the numerical efficiencies.

## Acknowledgments

This work was supported by MEXT/JSPS KAKENHI Grant Number 24760361. We thank the editor and anonymous reviewers for their thoughtful comments and suggestions that improved our manuscript.

## Appendix

We show an explicit expression of stress and displacement components. We assume that the particle arrangement is shown in Fig. 2 and the distance of each particle in each direction is fixed to  $\Delta l$ . We focus on the main-particle “a” in Fig. 2. The deformation gradient tensor of main-particle “a” is expressed as below.

$$\begin{aligned}
 \mathbf{F}_a &= \sum_j \mathbf{r}_{aj} \otimes \mathbf{r}_{aj}^0 \mathbf{A}_a^{-1} = \begin{pmatrix} F_{a11} & F_{a12} \\ F_{a21} & F_{a22} \end{pmatrix} \\
 F_{a11} &= 1 + \frac{1}{2\Delta l} (-u_x^7 + u_x^8 + u_x^1 - u_x^6) \\
 F_{a12} &= \frac{1}{2\Delta l} (-u_x^7 - u_x^8 + u_x^1 + u_x^6) \\
 F_{a21} &= \frac{1}{2\Delta l} (-u_y^7 + u_y^8 + u_y^1 - u_y^6) \\
 F_{a22} &= 1 + \frac{1}{2\Delta l} (-u_y^7 - u_y^8 + u_y^1 + u_y^6)
 \end{aligned} \tag{19}$$

where  $u_x^7$  represents the displacement of sub-particle “7” in the  $x$ -direction. Inserting Eq. (19) into Eq. (4), we obtain the strain tensor for main-particle “a” as follows.



$$\mathbf{E}_a = \frac{1}{2}(\mathbf{F}_a^T \mathbf{F}_a - \mathbf{I}) = \begin{pmatrix} E_{a11} & E_{a12} \\ E_{a21} & E_{a22} \end{pmatrix}$$

$$E_{a11} = \frac{1}{2\Delta t} (-u_x^7 + u_x^8 + u_x^1 - u_x^6) + \frac{1}{8\Delta t^2} \{ (u_x^7 + u_x^8 + u_x^1 + u_x^6) + 2(u_x^7(-u_x^8 - u_x^1 + u_x^6) + u_x^8(u_x^1 - u_x^6) - u_x^1 \cdot u_x^6) \} + \frac{1}{8\Delta t^2} \{ (u_y^7 + u_y^8 + u_y^1 + u_y^6) + 2(u_y^7(-u_y^8 - u_y^1 + u_y^6) + u_y^8(u_y^1 - u_y^6) - u_y^1 \cdot u_y^6) \}$$

$$E_{a12} = \frac{1}{4\Delta t} (-u_x^7 - u_x^8 + u_x^1 + u_x^6 - u_y^7 + u_y^8 + u_y^1 - u_y^6) + \frac{1}{8\Delta t^2} \{ u_x^7 - u_x^8 + u_x^1 - u_x^6 + 2(-u_x^7 \cdot u_x^1 + u_x^8 \cdot u_x^6) \} + \frac{1}{8\Delta t^2} \{ u_y^7 - u_y^8 + u_y^1 - u_y^6 + 2(-u_y^7 \cdot u_y^1 + u_y^8 \cdot u_y^6) \}$$

$$E_{a12} = E_{21}$$

$$E_{a22} = \frac{1}{2\Delta t} (-u_y^7 - u_y^8 + u_y^1 + u_y^6) + \frac{1}{8\Delta t^2} \{ (u_x^7 + u_x^8 + u_x^1 + u_x^6) + 2(u_x^7(u_x^8 - u_x^1 - u_x^6) + u_x^8(-u_x^1 - u_x^6) + u_x^1 \cdot u_x^6) \} + \frac{1}{8\Delta t^2} \{ (u_y^7 + u_y^8 + u_y^1 + u_y^6) + 2(u_y^7(u_y^8 - u_y^1 - u_y^6) + u_y^8(-u_y^1 - u_y^6) + u_y^1 \cdot u_y^6) \} \tag{20}$$

401 Inserting Eq. (20) into Eq. (5), the explicit expression  
402 for the stress tensor can be obtained

$$\mathbf{S}_a = 2\mu \mathbf{E}_a + \lambda \text{tr}(\mathbf{E}_a) \mathbf{I} = \begin{pmatrix} S_{a11} & S_{a12} \\ S_{a21} & S_{a22} \end{pmatrix}$$

$$S_{a11} = (\lambda + 2\mu)E_{a11} + \lambda E_{a22} \tag{21}$$

$$S_{a12} = 2\mu E_{a12}$$

$$S_{a21} = 2\mu E_{a21}$$

$$S_{a22} = (\lambda + 2\mu)E_{a22} + \lambda E_{a11}.$$

404 The same calculations for the other main-particles  
405 (“b”, “c”, “d”) are conducted to obtain the  
406 deformation gradient and stress tensors. Applying  
407 a symplectic scheme to Eq. (7), the following  
408 update scheme for the sub-particle “1” can be  
409 obtained.

$$\mathbf{u}_1^{n+1} = \mathbf{u}_1^n + \frac{\Delta t}{\Delta m_1} \cdot \left\{ \sum_i (\mathbf{F}_i \mathbf{S}_i \mathbf{A}_i^{-1} \mathbf{r}_{1i}^0 \Delta B_i) \right\}^{n+\frac{1}{2}} \tag{22}$$

(i = “a”, “b”, “c”, “d”), where the superscript  $n$  means the time step. This simple updating scheme is a second-order symplectic integrator which can conserve the total energy with high accuracy.

## REFERENCES

AOCHI, H., A. DUCCELLIER, F. DUPROS, M. DELATRE, T. ULRICH, F. MARTIN, and M. YOSHIMI (2013), *Finite difference simulations of seismic wave propagation for the 2007 Mw 6.6 Niigata-ken Chuetsu-oki earthquake: Validity of models and reliable input ground motion in the near-field*, Pure Appl. Geophys., 170, 43–64. doi:10.1007/s00024-011-0429-5.

BERNTH, H., and C. CHAPMAN (2011), *A comparison of the dispersion relations for anisotropic elastodynamic finite-difference grids*, Geophysics, 76, WA43–WA50. doi:10.1190/1.3555530.

BOHLEN, T., and E. H. SAENGER (2006), *Accuracy of heterogeneous staggered-grid finite-difference modeling of Rayleigh waves*, Geophysics, 71, T109–T115. doi:10.1190/1.2213051.

CUNDALL, P. A., and O. D. L. STRACK (1979), *A discrete numerical model for granular assemblies*, Geotechnique, 29, 47–65.

DELL VALLE-GARCIA, R., and F. J. SANCHEZ-SESMA (2003), *Rayleigh waves modelling using an elastic lattice model*, Geophys. Res. Lett., 30, 1866.

GELIS, C., D. LEPAROUX, J. VIRIEUX, A. BITRI, S. OPERTO, and G. GRANDJEAN (2005), *Numerical modeling of surface waves over shallow cavities*, J. Environ. Eng. Geophys., 10, 111–121.

GRAVES, R. W. (1996), *Simulating seismic wave propagation in 3D elastic media using staggered-grid finite differences*, Bull. Seismol. Soc. Am., 86, 1091–1106.

KOKETSU, K., H. FUJIWARA, and Y. IKEGAMI (2004), *Finite-element simulation of seismic ground motion with a voxel mesh*, Pure Appl. Geophys., 161, 2183–2198.

KOMATITSCH, D., and J. TROMP (1999), *Introduction to the spectral element method for three-dimensional seismic wave propagation*, Geophys. J. Int., 139, 806–822.

KONDO, M., Y. SUZUKI, and S. KOSHIZUKA (2010), *Suppressing local particle oscillations in the Hamiltonian particle method for elasticity*, Int. J. Numer. Methods Engng., 81, 1514–1528. doi:10.1002/nme.2744.

MADARIAGA, R. (1976), *Dynamics of an expanding circular fault*, Bull. Seismol. Soc. Am., 66, 639–666.

MADONNA, C., B. S. G. ALMQVIST, and E. H. SAENGER (2012), *Digital rock physics: numerical prediction of pressure-dependent ultrasonic velocities using micro-CT imaging*, Geophys. J. Int., 189, 1475–1482. doi:10.1111/j.1365-246X.2012.05437.x.

NOGUCHI, S., T. MAEDA, and T. FURUMURA (2013), *FDM simulation of an anomalous later phase from the Japan trench subduction zone earthquakes*, Pure Appl. Geophys., 170, 95–108. doi:10.1007/s00024-011-0412-1.

SAENGER, E. H., N. GOLD, and S. A. SHAPIRO (2000), *Modeling the propagation of elastic waves using a modified finite-difference grid*, Wave Motion, 31, 77–92.

- 464 SAENGER, E. H., and S. A. SHAPIRO (2002), *Effective velocities in*  
 465 *fractured media: a numerical study using the rotated staggered*  
 466 *finite-difference grid*, *Geophys. Prospect.*, *50*, 183–194.
- 467 SAENGER, E. H., F. ENZMANN, Y. KEEHM, and H. STEEB (2011),  
 468 *Digital rock physics: Effect of fluid viscosity on effective elastic*  
 469 *properties*, *J. Appl. Geophys.*, *74*, 236–241. doi:10.1016/j.  
 470 *jappgeo.2011.06.001*.
- 471 SUZUKI, Y., and S. KOSHIZUKA (2008), *A Hamiltonian particle*  
 472 *method for non-linear elastodynamics*, *Int. J. Numer. Methods*  
 473 *Engng.*, *74*, 1344–1373. doi:10.1002/nme.2222.
- 474 TAKEKAWA, J., R. MADARIAGA, H. MIKADA, and T. GOTO (2012),  
 475 *Numerical simulation of seismic wave propagation produced by*  
 476 *earthquake by using a particle method*, *Geophys. J. Int.*, *191*,  
 477 1305–1316. doi 10.1111/j.1365-246X.2012.05676.x.
- 478 TAKEKAWA, J., H. MIKADA, T. GOTO, Y. SANADA, and Y. ASHIDA  
 479 (2013), *Coupled simulation of seismic wave propagation and*  
 496 *failure phenomena by use of an MPS method*, *Pure Appl. Geo-*  
 480 *phys.*, *170*, 561–570. doi:10.1007/s00024-012-0571-8.
- 481 TOOMEY, A., and C. J. BEAN (2000), *Numerical simulation of seis-*  
 482 *mic waves using a discrete particle scheme*, *Geophys. J. Int.*, *141*,  
 483 595–604.
- 484 VIRIEUX, J. (1984), *SH wave propagation in heterogeneous media:*  
 485 *velocity-stress finite-difference method*, *Geophysics*, *49*,  
 486 1933–1957.
- 487 VIRIEUX, J. (1986), *P-SV wave propagation in heterogeneous*  
 488 *media: velocity-stress finite difference method*, *Geophysics*, *51*,  
 489 889–901.
- 490 ZENG, C., J. XIA, R. D. MILLER, and G. P. TSOFLIAS (2012), *An*  
 491 *improved vacuum formulation for 2D finite-difference modeling*  
 492 *of Rayleigh waves including surface topography and internal*  
 493 *discontinuities*, *Geophysics*, *77*, T1–T9. doi:10.1190/geo2011-  
 494 0067.1.  
 495

(Received April 8, 2013, revised October 15, 2013, accepted December 19, 2013)

498  
 499